

#### STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dahlberg et al.

Group No.:

1636

Serial No.:

09/941,095

Examiner:

James S. Ketter

Filed:

08/28/01

Entitled:

Kits for the Detection of Target Sequences

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)

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Date: February 9, 2007

Mary Ellen Waite

#### Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, in the aboveidentified application, for filing in the U.S. Patent Office.

Applicants believe no fee is required. The citations listed were cited in the parent case U.S. Serial No. 08/254,359 filed 06/06/94, which issued as U.S. Patent No. 5,614,402 on 03/25/97.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: February 9, 2007

Registration No. 42,363

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900



#### IN THE CAPACITY STATES PATENT AND TRADEMARK OFFICE

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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By: February 9, 2007

By:

Mary Ellen Waite

#### Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application. The citations listed below were cited in the parent case U.S. Serial No. 08/254,359 filed 06/06/94, which issued as U.S. Patent No. 5,614,402 on 03/25/97.

- U.S. Patent No. 5,210,015 issued to Gelfand et al.
- PCT Publication WO 92/02638
- R.B. Kelley, *et al.*, "Excision of Thymine Dimers and Other Mismatched Sequences by DNA Polymerase of *Escherichia coli*," Nature 244:495 (1969)
- A. Kornberg *et al.*, "Enzymatic Synthesis of Deoxyribonucleic Acid, XVL Oligonucleotides as Templates and the Mechanism of Their Replication," Biochemistry 51:315 (1961)

- A. Kornberg, "DNA Polymerases A Perspective," The Enzymes, Vol. XIV:3
- I.R. Lehman, "DNA Polymerase I of *Escherichia coli*," The Enzymes, Vol. XIV:15
- P. Lopez et al., "Characterization of the polA Gene of Streptococcus pneumoniae and Comparison of the DNA Polymerase I It Encodes to Homologous Enzymes from Escherichia coli and Phage T7," J. Biol. Chem. 264:4255 (1989)
- H.K. Schachman, et al., "Enzymatic Synthesis of Deoxyribonucleic Acid," J. Biol.
   Chem. 235:3242 (1960)
- R.C. Lundquist, et al., "Transient Generation of Displaced Single-Stranded DNA During Nick Translation," Cell 31:53 (1982)
- M.A. Innis, et al., "DNA Sequencing with Thermus aquaticus DNA Polymerase and Direct Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci. USA 85:9436 (1988)
- Perkin Elmer Cetus, Product Analysis: "AmpliTaq DNA Polymerase"
- Promega, Product Analysis: "Taq DNA Polymerase", Certificate of Analysis
- M.J. Longley *et al.*, "Characterization of the 5' to 3' Exonuclease Associated with *Thermus aquaticus* DNA Polymerase," Nucl. Acids Res. 18:7317 (1990)
- Y. Li, et al., "Targeted Cleavage of mRNA in vitro by RNase P from Escherichia coli," Proc. Natl. Acad. Sci. USA 89:3185 (1992)
- A.J. Podhajska et al., "Conversion of the FokI Endonuclease to a Universal Restriction Enzyme: Cleavage of Phage M13mp7 DNA at Predetermined Sites," Gene 40:175 (1985)
- R.H. Symons, "Small Catalytic RNAs," Annu. Rev. Biochem. 61:641 (1992)
- D.M.J. Lilley, *et al.*, "Cruciform-Resolvase Interactions in Supercoiled DNA," Cell 36:413 (1984)
- S.A. Chow, et al., "Reversal of Integration and DNA Splicing Mediated by Integrase of Human Immunodeficiency Virus," Science 255:723 (1992)
- F.C. Lawyer et al., "High-level Expression, Purification, and Enzymatic
   Characterization of Full-length Thermus aquaticus DNA Polymerase and a

Truncated Form Deficient in 5' to 3' Exonuclease Activity," PCR Meth. and Appl. 2:275 (1993)

• D.R. Duckett *et al.*, "The Structure of DNA Junctions and their Interaction with Enzymes," Eur. J. Biochem. 207:285 (1992)

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: February 9, 2007

Registration No. 42,395
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608/218-6900

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 3

| Complete if Known      |                 |  |
|------------------------|-----------------|--|
| Application Number     | 09/941,095      |  |
| Filing Date            | 08/28/01        |  |
| First Named Inventor   | Dahlberg et al. |  |
| Art Unit               | 1636            |  |
| Examiner Name          | James S. Ketter |  |
| Attorney Docket Number | FORS-06614      |  |

| U. S. PATENT DOCUMENTS |                          |  |                                |  |   |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials*  | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        | 1                        | US- 5,210,015  | 05/11/1993                     | Gelfand et al.                                     | entire document   |
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| FOREIGN PATENT DOCUMENTS |              |   |                     |  |   |    |
|--------------------------|--------------|---|---------------------|--|---|----|
| Examiner Initials*       | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages | ١, |
|                          |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | Τ° |
|                          | 2            | WO 92/02638   | 02/20/1992          | Gelfand et al.                                     | entire document                                   |    |
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FROS-06614

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 09/941,095 Filing Date INFORMATION DISCLOSURE 08/28/01 STATEMENT BY APPLICANT First Named Inventor Dahiberg et al. Art Unit 1636 (Use as many sheets as necessary) **Examiner Name** James S. Ketter

Attorney Docket Number

Sheet

|                       |                          | NON PATENT LITERATURE DOCUMENTS   |                |
|-----------------------|--------------------------|---|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                       | 3                        | R.B. Kelley, et al., "Excision of Thymine Dimers and Other Mismatched Sequences by DNA Polymerase of Escherichia coli," Nature 244:495 (1969)   | _              |
|                       | 4                        | A. Kornberg et al., "Enzymatic Synthesis of Deoxyribonucleic Acid, XVL Oligonucleotides as Templates and the Mechanism of Their Replication," Biochemistry 51:315 (1961)  |                |
|                       | 5                        | A. Kornberg, "DNA Polymerases - A Perspective," The Enzymes, Vol. XIV:3   |                |
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|                       | 8                        | H.K. Schachman, et al., "Enzymatic Synthesis of Deoxyribonucleic Acid," J. Biol. Chem. 235:3242 (1960)  |                |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Complete if Known Substitute for form 1449/PTO **Application Number** 09/941,095 Filing Date INFORMATION DISCLOSURE 08/28/2001 STATEMENT BY APPLICANT **First Named Inventor** Dahlberg et al. Art Unit 1636 (Use as many sheets as necessary) **Examiner Name** James S. Ketter Attorney Docket Number FORS-06614 Sheet 3 13

|                    |              | NON PATENT LITERATURE DOCUMENTS   |                |
|--------------------|--------------|---|----------------|
| Examiner Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| ·                  | 13           | M.J. Longley et al., "Characterization of the 5' to 3' Exonuclease Associated with Thermus aquaticus DNA Polymerase," Nucl. Acids Res. 18:7317 (1990)   |                |
|                    | 14           | Y. Li, et al., "Targeted Cleavage of mRNA in vitro by RNase P from Escherichia coli," Proc. Natl. Acad. Sci. USA 89:3185 (1992)   |                |
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|                    | 18           | S.A. Chow, et al., "Reversal of Integration and DNA Splicing Mediated by Integrase of Human Immunodeficiency Virus," Science 255:723 (1992)   |                |
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